

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

§

§

§

6P2161 Tow

In re application of: Dutta et al.

Serial No.: 09/833,339

Filed: April 12, 2001

For: Method and Apparatus for Facilitating Transactions at an Automatic Teller Machine

35525
PATENT TRADEMARK OFFICE
CUSTOMER NUMBER

Group Art Unit: 2161

Examiner: Harbeck, Timothy M.

Attorney Docket No.: AUS920010216US1

Certificate of Mailing Under 37 C.F.R. § 1.8(a)

If hereby certify this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

<u>Dr. 14</u>, 2005.

By:

TRANSMITTAL DOCUMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

ENCLOSED HEREWITH:

- Supplemental Information Disclosure Statement;
- Form PTO-1449;
- Reference AA; and
- Our return postcard.

No fees are believed to be necessary. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to Deposit Account No. 09-0447.

Respectfully submitted,

Peter B. Manzo

Registration No. 54,700

Duke W. Yee

Registration No. 34,285

YEE & ASSOCIATES, P.C.

P.O. Box 802333

Dallas, Texas 75380

(972) 385-8777

ATTORNEYS FOR APPLICANTS

DOCKET NUMBER AUS920010216US1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Dutta et al.

Serial No: 09/833,339

Filed: April 12, 2001

Title: Method and Apparatus for

Facilitating Transactions at an Automatic

Teller Machine

Group Art Unit: 2161

Intellectual Property Law Department International Business Machines Corporation 11400 Burnet Road Austin, Texas 78758

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. 1.56.

While this Supplemental Information Disclosure Statement may be "material" pursuant to 37 C.F.R. 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. 1.97(g) the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (b) exists.

DOCKET NUMBER AUS920010216US1

The patents and/or publications submitted herewith are set forth on the attached form, PTO-1449.

No item of information cited in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this Supplemental Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Supplemental Information Disclosure Statement.

No fee is believed to be required. In the event a fee is required in connection with the enclosed Supplemental Information Disclosure Statement, the Commissioner of Patents and Trademarks is authorized to charge Deposit Account No. 09-0447 for the necessary amount.

Respectfully submitted,

Peter B. Manzo

Registration No. 54,700 Yee & Associates, P.C.

P.O. Box 802333

Dallas, Texas 75380

Telephone: (972) 385-8777

PTO/SB/(08-03)

P10/5H/(08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office. U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449/PTO

ORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known				
Application Number	09/833,339			
Filing Date	April 12, 2001			
First Named Inventor	Dutta et al.			
Examiner Name	Harbeck, Timothy M.			
Art Unit	2161			
Attorney Docket No.	AUS920010216US1			

Examiner Initials		Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
* * *						

FOREIGN PATENT DOCUMENTS						
Examiner Initial		Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		-				
•						

		NON PATENT LITERATURE				
Examiner Initial		Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				
	AA	Medina, "New Applications for Text Recognition", ProQuest, Imaging & Document Solutions, San Francisco Dec. 2000, Vol. 9, Issue 12, pp. 1-6.				
i						

Exa			

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.